



1771

PATENTS

EXG.
3/28/03
#11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BRANHAM, et al.

Serial No. 09/815,261

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC
POLYMERS, A METHOD OF MAKING
SAME AND ITEMS USING SAME

Art Unit: 1713
~~1771~~

Examiner: Norca Liz Torres Velazquez

RECEIVED
FEB 24 2003
TC 1700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of the listed items is enclosed.

Certificate

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231, on February 13, 2003.

David E. Wigley Reg. No. 52,362

not more than three months prior to the filing of the statement. A copy of the International Search Report is also attached.

The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,



By: David E. Wigley
Reg. No. 52,362

KILPATRICK STOCKTON LLP
1100 Peachtree Street
Suite 2800
Atlanta, Georgia 30309-4530
(404) 815-6500
Our Docket: 44040-256044 (11302-1160)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Sheet	1	of	1		
Application Number				09/815,261	
Filing Date				March 22, 2001	
First Named Inventor				Branham et al.	
Group Art Unit				1771	
Examiner Name				Norca Liz Torres Velazquez	
Attorney Docket Number				11302-1160 (44040-256044)	

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,970,260		Lundberg, R. D.	11-13-1990	

RECEIVED

FEB 24 2003

TC 1700

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				
	2	✓	WO 00/39378	Kimberly-Clark Worldwide, Inc.	07-06-2000		
	3	✓	WO 98/41577	Kimberly-Clark Worldwide, Inc.	09-24-1998		
	4	✓	WO 98/52698	The Dow Chemical Company	11-26-1998		
	5	✓	WO 98/29501	Kimberly-Clark Worldwide, Inc.	07-09-1998		
	6	✓	JP 10 204792	Seiki Kagaku Kogyo KK	08-04-1998		

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.